

Predicate Calculus

- Undecidability of predicate calculus

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Undecidable problems

- Can you tell whether or not the following program halts?

```
/* Fermat's last theorem: for  $n > 2$ , there  
exists no positive integers  $x, y, z$  s.t.  $x^n +$   
 $y^n = z^n$  */
```

```
main() {  
    Nat n, total, x, y, z;  
    scanf("%d",&n);  
    total=3;  
    while(1) { /* loop invariant: total= x+y+z */  
        for(x=1; x<= total-2; x++) {  
            for(y=1; y <= total-x-1; y++) {  
                z= total - x -y;  
                if( $x^n + y^n == z^n$ ) halt;  
            }  
        }  
        total++;  
    }
```

- It would be remarkable indeed if we could make an algorithm that could examine any program P and tell whether P would halt.
 - In other words, to decide whether a given program halts or not is, at least, as hard as proving the Fermat's last theorem which took 300 years
- We know that no such algorithm exists –
 - Halting problem is undecidable

Transform of the Halting problem (1/2)

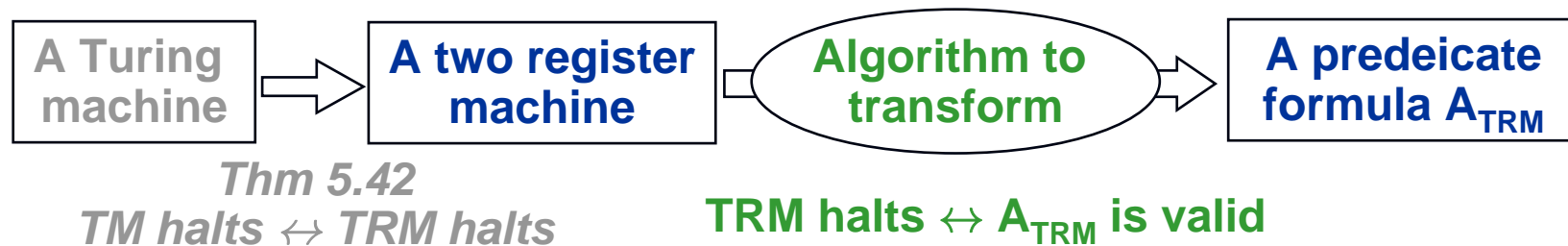
- It is **undecidable** to check whether a Turing machine (TM) will halt if started on a blank tape (halting problem)
- To prove the undecidability of predicate logic, we give **an algorithm** which produces **a formula A_{TM}** in the predicate calculus for every **Turing machine**, s.t. A_{TM} is valid iff a Turing machine halts
 - Note that we do **not** make a Turing machine M for every predicate formula, since it is enough to show that checking **some** predicate formulas is undecidable
- If we have such an algorithm, it is clear that validity check of predicate formula is at least as hard as halting problem (i.e., undecidable)



TM halts $\leftrightarrow A_{TM}$ is valid

Transform of the Halting problem (2/2)

- To simplify the proof of the transformation algorithm, we work with two-register machines (TRM) rather than directly with Turing machine
 - i.e., we will show there exists such A_{TRM} for a two-register machine
- Thm 5.42 Given a Turing machine that computes a function f , a two-register machine can be constructed to compute the same function f



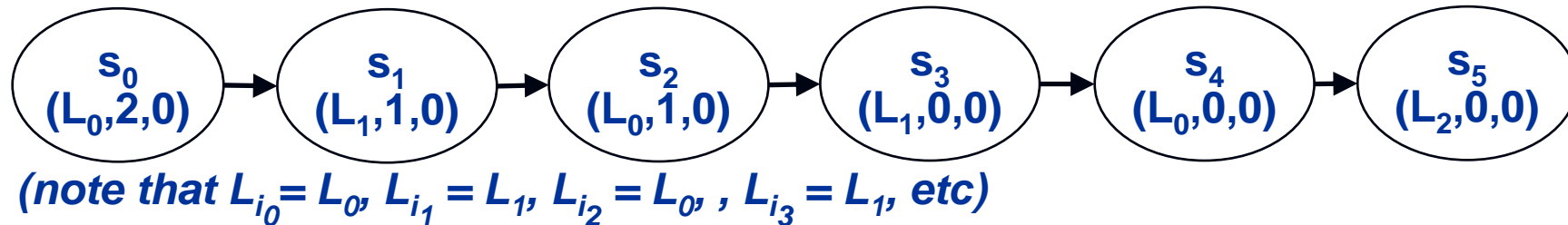
A two-register machine M

- Def 5.41 A **two-register machine** M consists of two registers x and y which can hold **natural numbers**, and a program $P = (L_0, \dots, L_n)$ which is a list of instructions. L_n is the instruction **halt**, and for $0 \leq i < n$, L_i is one of:
 - $x := x + 1$
 - $y := y + 1$
 - if $x = 0$ then goto L_j else $x := x - 1$, $0 \leq j \leq n$
 - if $y = 0$ then goto L_j else $y := y - 1$, $0 \leq j \leq n$
- An **execution** sequence of M is a sequence of **states** $s_k = (L_{i_k}, x, y)$, where L_{i_k} is the current instruction at s_k , and x, y are the contents of x and y.
- s_{k+1} is obtained from s_k by executing L_{i_k} .
- The initial state $s_0 = (L_{i_0}, m, 0) = (L_0, m, 0)$ for some m.
- If for some k, $s_k = (L_n, x, y)$, the computation of M has halted and M has computed $y = f(m)$

Examples

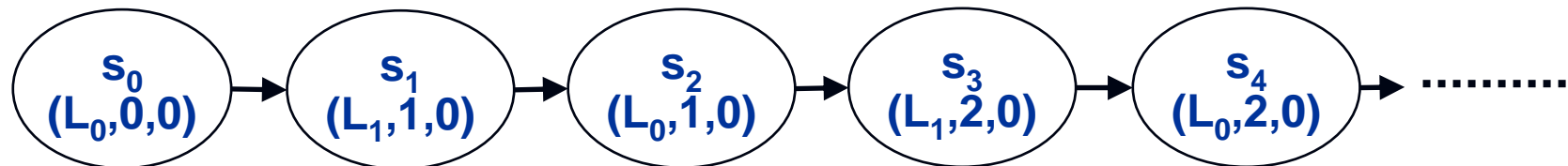
Execution where
x's initial value=2

```
/* L1 is executed m times and then this program halts */  
L0:if x=0 then goto L2 else x:=x-1  
L1:if y=0 then goto L0 else y:=y-1  
L2:halt
```



Execution where
x's initial value=0

```
/* L0 is executed infinitely, i.e., this program never halts */  
L0:x := x + 1  
L1:if y=0 then goto L0 else y:=y-1  
L2:halt
```



Validity in the predicate calculus

- Thm 5.43 (Church) Validity in the predicate calculus is undecidable
 - *Caution: the proof of Thm 5.43 in the textbook has several flaws...*
- For every two-register machine M , we construct a formula S_M s.t. S_M is valid iff M terminates when started in the state $(L_0, 0, 0)$:
 - $S_M = (\bigwedge_{(i=0..n-1)} S_i \wedge p_0(0,0)) \rightarrow \exists z_1 z_2 p_n(z_1, z_2)$
 - Intuitive meaning of p_i is as follows
 - $v_{\mathcal{I}}(p_i(m', m'')) = T$ iff there exists some state $s_k = (L_i, m', m'')$
 - S_i is defined by cases of the instruction L_i

L_i	S_i
$x := x + 1$	$\forall x \forall y (p_i(x, y) \rightarrow p_{i+1}(s(x), y))$
$y := y + 1$	$\forall x \forall y (p_i(x, y) \rightarrow p_{i+1}(x, s(y)))$
if $x = 0$ then goto L_j else $x := x - 1$	$\forall x (p_i(a, x) \rightarrow p_j(a, x)) \wedge$ $\forall x \forall y (p_i(s(x), y) \rightarrow p_{i+1}(x, y))$
if $y = 0$ then goto L_j else $y := y - 1$	$\forall x (p_i(x, a) \rightarrow p_j(x, a)) \wedge$ $\forall x \forall y (p_i(x, s(y)) \rightarrow p_{i+1}(x, y))$

Example of S_M

```
/* y=x+1 for x <= 1 */
```

```
L0:if x=0 then goto L4 else x=x-1
```

```
L1:y:=y+1
```

```
L2:if x=0 then goto L4 else x=x-1
```

```
L3:y:=y+1
```

```
L4:halt
```

$$S_M = (p_0(0,0) \wedge (\forall x(p_0(0,x) \rightarrow p_4(0,x)) \wedge \forall xy(p_0(s(x),y) \rightarrow p_1(x,y)))) \wedge \forall xy (p_1(x,y) \rightarrow p_2(x,s(y))) \wedge (\forall x(p_2(0,x) \rightarrow p_4(0,x)) \wedge \forall xy(p_2(s(x),y) \rightarrow p_3(x,y)))) \wedge \forall xy(p_3(x,y) \rightarrow p_4(x,s(y))))$$

\rightarrow

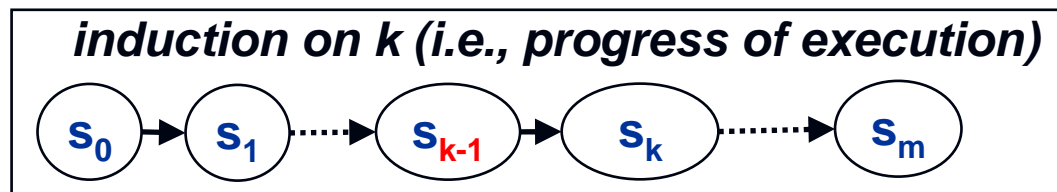
$$\exists z_1 z_2 p_4(z_1, z_2)$$

■ Intuitive meaning of S_M :

- Given a two-register machine M ,
 - execution of M ($\bigwedge_{i=0..n-1} S_i \wedge p_0(0,0)$)
 - reaches (\rightarrow)
 - the **halt** instruction ($\exists z_1 z_2 p_n(z_1, z_2)$)

TRM halts $\rightarrow A_{\text{TRM}}$ is valid (1/2)

- Suppose that the execution s_0, \dots, s_m of M halts and let \mathcal{I} be an arbitrary interpretation for S_M . If $v_{\mathcal{I}}(S_i) = F$ (for $0 \leq i < n$) or $v_{\mathcal{I}}(p_0(0,0)) = F$, then trivially $v_{\mathcal{I}}(S_M) = \bar{T}$
- Thus, we assume that $(\bigwedge_{(i=0..n-1)} S_i \wedge p_0(0,0))$ is true
 - since we need only consider interpretations that satisfy the antecedent of S_M
- We show by induction on k that $v_{\mathcal{I}}(\exists z_1 z_2 p_{i_k}(z_1, z_2)) = T$
 - p_{i_k} is the predicate associated with the label L_{i_k} in state s_k
 - Mind the incorrect notation in the textbook where L_k and p_k is used instead of L_{i_k} and p_{i_k}
 - For $k=0$, $v_{\mathcal{I}}(\exists z_1 z_2 p_{i_0}(z_1, z_2)) = v_{\mathcal{I}}(\exists z_1 z_2 p_0(z_1, z_2)) = T$ since $v_{\mathcal{I}}(p_0(0,0)) = T$ from the assumption



TRM halts $\rightarrow A_{\text{TRM}}$ is valid (2/2)

- For $k > 0$, the result follows by induction by **cases** according to the instruction at $L_{i_{k-1}}$
 - For $x := x+1$ at $L_{i_{k-1}}$:
 - $v_{\mathcal{I}}(\forall xy (p_{i_{k-1}}(x,y) \rightarrow p_{i_{k-1}+1}(s(x),y))) = T$ by the **assumption**
 - $v_{\mathcal{I}}(\exists z_1 z_2 p_{i_{k-1}}(z_1, z_2)) = T$ by the **inductive hypothesis**
 - From the above two facts, $v_{\mathcal{I}}(\exists z_1 z_2 p_{i_{k-1}+1}(s(z_1), z_2)) = T$
 - $v_{\mathcal{I}}(\exists z_1 z_2 p_{i_{k-1}+1}(s(z_1), z_2)) = v_{\mathcal{I}}(\exists z_1 z_2 p_{i_k}(s(z_1), z_2)) = T$ since $p_{i_{k-1}+1} = p_{i_k}$
 - We can conclude $v_{\mathcal{I}}(\exists z'_1 z_2 p_{i_k}(z'_1, z_2)) = T$ since $\exists x A(f(x)) \rightarrow \exists x' A(x')$.
 - **By induction, this holds for all k .**
 - For if $x=0$ then goto L_j else $x=x-1$ at $L_{i_{k-1}}$:
 - ...
 - **By induction, this holds for all k .**
- Since M halts, in the final state s_m , $L_{i_m} = L_n$ the halt instruction, so $v_{\mathcal{I}}(\exists z'_1 z_2 p_n(z'_1, z_2)) = T$ and $v_{\mathcal{I}}(S_M) = T$.
- Since \mathcal{I} was arbitrary, **S_M is valid**

TRM halts \leftarrow A_{TRM} is valid

- Suppose that S_M is valid, and consider an interpretation \mathcal{I} s.t.
 - $\mathcal{I} = (\mathcal{N}, \{P_0, \dots, P_n\}, \{\text{succ}\}, \{0\})$ where
 - $(x, y) \in P_i$ iff (L_i, x, y) is reached by the register machine M when started in $(L_0, 0, 0)$
- We will show that the antecedent of S_M is true in \mathcal{I} so that the conclusion of S_M is also true which means M reaches the halt instruction
 - The initial state is $(L_0, 0, 0)$ so $(0, 0) \in P_0$ and $v_{\mathcal{I}}(p_0(0, 0)) = T$
- We will show that if the computation has reached L_i , then $v_{\mathcal{I}}(S_i) = T$.
 - Assume as an inductive hypothesis that if the computation has reached L_i , it has done so in a computation of length $i-1$ in state $s_{i-1} = (L_i, x_i, y_i)$, so $(x_i, y_i) \in P_i$.
 - The proof is by cases on the instruction L_i
 - For $L_i = x := x+1$, the computation can reach the state $s_k = (L_{i_k}, \text{succ}(x_i), y_i) = (L_{i_{k-1}+1}, \text{succ}(x_i), y_i)$, so $v_{\mathcal{I}}(S_i) = T$
 - For $L_i = \text{if } x=0 \text{ then goto } L_j \text{ else } x := x-1, \dots$ so $v_{\mathcal{I}}(S_i) = T$
- Since S_M is assumed valid, $v_{\mathcal{I}}(\exists z_1 z_2 p_n(z_1, z_2)) = T$ and $v_{\mathcal{I}}(p_n(m_1, m_2)) = T$ for some natural numbers m_1, m_2 . Thus M halts and computes $m_2 = f(0)$

Solvable cases

- Church's theorem holds even if the formulas contain only **binary** predicate symbols, **one** constant and **one** unary function symbol.
 - This follows from the structure of S_M in the proof
- Solvable cases of the decision problem
 - Thm 5.44 There is a decision procedure for validity of the class of pure formulas in Prenex CNF whose prefixes are of one of the following forms (where $m, n \geq 0$)
 - $\forall x_1 \dots x_n \exists y_1 \dots y_m$ (Class $\forall^* \exists^*$)
 - $\forall x_1 \dots x_n \exists y \forall z_1, \dots, z_m$ (Class $\forall^* \exists \forall^*$)
 - $\forall x_1 \dots x_n \exists y_1 \exists y_2 \forall z_1 \dots z_m$ (Class $\forall^* \exists \exists \forall^*$)
 - Def 7.8 A formula is in **prenex conjunctive normal form (PCNF)** iff it is of the form:
 - $Q_1 x_1 \dots Q_n x_n M$ where the Q_i are quantifiers and M is a quantifier-free formula in CNF. The sequence $Q_1 x_1 \dots Q_n x_n$ is called the prefix and M is called the **matrix**
 - Thm 5.46 There is a decision procedure for satisfiability of PCNF formulas A if the matrix of A satisfies the following
 - All atomic formulas are **monadic**, that is, all predicate letters are unary